



Mycobiota in Chilean chilli *Capsicum annuum* L. used for production of *Merkén*

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ABSTRACT

This work aims to provide the first study on the mycobiota present in Chilean pepper *Capsicum annuum* L. cv. “Cacho de Cabra” throughout the early production stages. Two hundred and forty berry fruits were sampled: 1) at the ripe fruits harvest day; 2) during drying; and 3) smoking processes. A total of 192 strains, encompassing 11 genera and 44 species, were identified through analysis of β -tubulin (*benA*) gene and internal transcribed spacer of ribosomal DNA (ITS) region. All collection points showed samples with high fungal contamination, but the mycobiota composition varied as a result of different environmental conditions. *Alternaria* spp. and *Fusarium* spp. were predominantly isolated from fresh fruits of *C. annuum*. *Penicillium* spp. was the most frequent genus in all analysed points. *Penicillium brevicompactum* and *P. crustosum* were the most abundant species. Among *Aspergillus*, *A. niger* and *A. flavus* were dominant after the drying phase. In our study, none of the analysed strains of *Penicillium* (113) and *Aspergillus* (35) produced Ochratoxin A at detectable levels. The broad characterization of the fungal community of *C. annuum* carried out in this study, could be a guideline for future mycotoxin analyses performed directly on the pod. Understanding the role and dynamics of mycobiota and its relationship with the toxins present in this substrate, will be useful to establish and improve control measures considering the specificities of each point in the *C. annuum* production chain.

1. Introduction

Capsicum genus is a horticultural crop produced worldwide. Its exotic flavour, aroma, colour, and pungency popularised *Capsicum* peppers, making them the second largest consumed spice throughout the world, especially in Asia and Latin America. According to the Food and Agriculture Organisation (FAO), in 2016, the worldwide production area for dried *Capsicum* was 1,798,847 ha, with a production of 3,918,159 t of harvested product per year (FAOSTAT, 2018).

In Chile, the main species of cultivated pepper is *C. annuum*, which is popularly known as “aji” (Govindarajan and Salzer, 1985). A particular landrace of *C. annuum* L. cv. “Cacho de Cabra” is the second most-produced variety in Chilean territory, mainly in the Region of La Araucanía (FIA, 2006).

The production of *C. annuum* L. cv. “Cacho de Cabra” by small farmers has been carried out predominantly in artisan or semi-industrial forms. In both cases, it begins with the sowing of selected seeds in a nursery, which occurs between May to June (end of autumn to early winter in the Southern Hemisphere). Then, from August to

September (end of winter to early spring), plants are transplanted to the field for plant growth and berry fruit production. Finally, from March to April (beginning of autumn), berry fruits are harvested (FIA, 2006).

After harvest, berry fruits are usually dried by direct sun exposure or mechanical heat. Traditionally, this process takes place inside “Rucas”, typical houses made of wood and straw, with berry fruits being placed on the floor and turned several times to obtain an even drying. Artisanal drying can take between 5 and 15 days, depending on the number of available sun hours and weather conditions (FIA, 2010). After drying, berry fruits go through a smoking process for approximately half an hour on a native wood fire. This process generates a product with a specific darker colour and a certain smoky flavour (Costa et al., 2019a).

Capsicum annuum L. cv. “Cacho de Cabra” can be sold whole, fresh, crushed, and mixed with other spices. However, they are predominantly used as raw material to produce a powdered pepper known as *Merkén* (Costa et al., 2019a). As expected, high-quality of *Merkén* is directly linked to a selection of high-quality pods (FIA, 2010). However, research on mycobiota, including mycotoxigenic species, was carried out predominantly on chilli powder and other chilli by-products

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(Atanda et al., 1990; Casquete et al., 2017; Ham et al., 2016; Ruiz-Moyano et al., 2009; Santos et al., 2011; Tančinová et al., 2014). To date, few studies have reported the mycobiota of *C. annuum* berry fruits and none has described it in all stages of production (da Cruz Cabral et al., 2016; Frimpong et al., 2019; Haruna et al., 2017).

The agricultural practices used by farmers that produce *C. annuum* L. cv. “Cacho de Cabra” are highly empirical, based on ancestral agriculture practices and do not consider the prevention of fungal growth and further potential contamination with mycotoxins (FIA, 2010). For the Chilean ecotype of *C. annuum* L. cv. “Cacho de Cabra” there is no data on mycobiota diversity.

Origin of the raw material, hygiene conditions, temperature, humidity and water activity (a_w) are critical factors to fungal colonization and mycotoxin biosynthesis (Ahn et al., 2010; Almela et al., 2007; Iqbal et al., 2011; Sanchis and Magan, 2004). The Chilean Mycotoxin Surveillance Program reported a contamination of *Capsicum* samples with Aflatoxins and Ochratoxin A beyond the maximum tolerable limits (MTL) established by the European Commission (Foerster et al., 2020). Ochratoxin A contamination was also reported in *Merkén*, a derivative product from *C. annuum* (Agencia Chilena para la Calidad e Inocuidad Alimentaria [ACHIPIA], 2018).

These recent mycotoxin contamination alerts point out that fungal contamination, especially by potentially mycotoxigenic species, should not be underestimated in Chilean *Capsicum* and its derivatives. However, there is no available data on fungal population loads in *C. annuum* L. cv. “Cacho de Cabra” during the harvesting and post-harvest phases, including drying and smoking processes. For this reason, the objective of the present work is to provide the first study on the mycobiota of Chilean pepper *C. annuum* L. cv. “Cacho de Cabra” during the initial stages of traditional agricultural cultivation. The strains of *Aspergillus* and *Penicillium* isolated will be also analysed as to its ochratoxigenic potential.

2. Materials and methods

2.1. Study area

Berry fruits samples of *Capsicum annuum* L. cv. “Cacho de Cabra” were collected in the following rural localities of the Region of La Araucanía, Chile (Fig. 1): Nueva Imperial (S 38° 72'07", W 72° 91'19"), Hualacura (S 38° 71'98", W 72° 94' 00"), Cholchol (S 38° 57'02", W 72° 81'96") and Purén (Lumaco) (S 38° 16'42", W 72° 82'08").

2.2. Sampling

Capsicum annuum L. cv. “Cacho de Cabra” pod samples were provided by 8 farmers from Nueva Imperial (2), Hualacura (1), Cholchol (1) and Purén (Lumaco) (4). Samples were collected from April to June 2017 at 3 different sampling points: 1) at the ripe fruits harvest day (SP I); 2) during the drying process (1 month after harvest, SP II); and 3) during the smoking process (SP III). For each sampling point, 10 chilli pods were obtained from each farmer, totalling 240 samples collected and analysed (SP I, $n = 80$; SP II, $n = 80$; SP III, $n = 80$). The bulk samples were stored in paper bags, transported in a refrigerated box to the laboratory and processed in the same day.

Average temperature and humidity during SP I sampling were: (April 2017) 12.5 °C and 87.28% for Nueva Imperial and Hualacura; 12.1 °C and 85.61% for Cholchol, and 12.1 °C and 85.53% for Purén (Lumaco). During SP II sampling average temperatures and humidity were: (May 2017): 6.6 °C and 90.75% for Nueva Imperial and Hualacura; 8.2 °C and 89.76% for Cholchol, and 7.8 °C and 90.93% for Purén (Lumaco); while during SP III average temperatures and humidity were: (June 2017) 7.9 °C and 91.38% for Nueva Imperial and Hualacura; 7.4 °C and 91% for Cholchol, and 6.8 °C and 93.2% for Purén (Lumaco) (Meteochile, 2020).

2.3. Mycological analysis of *Capsicum annuum*

In order to isolate the mycobiota, each of the 240 chilli pods was divided into three sections (top, middle, and bottom). A fragment of each section was cut (1 × 1 cm) and plated on Malt Extract Agar (MEA, malt extract 20 g L⁻¹, mycological peptone 1 g L⁻¹, agar 20 g L⁻¹, glucose 20 g L⁻¹), Dichloran Rose Bengal Chloramphenicol agar (DRBC, KH₂PO₄ 1 g L⁻¹, MgSO₄·7H₂O 0.5 g L⁻¹, peptone 5 g L⁻¹, dichloran 0.002 g L⁻¹, chloramphenicol 0.1 g L⁻¹, agar 15 g L⁻¹, glucose 10 g L⁻¹, rose bengal 0.025 g L⁻¹) and Dichloran 18% Glycerol Agar (DG18, mycological peptone 5 g L⁻¹, glucose 10 g L⁻¹; KH₂PO₄ 1 g L⁻¹, MgSO₄·7H₂O 0.5 g L⁻¹, glycerol 220 g L⁻¹, dichloran 0.002 g L⁻¹, chloramphenicol 0.1 g L⁻¹, agar 15 g L⁻¹) medium. Each plate was incubated for 7 days in the dark at 25 °C. Fungal isolates were selected eliminating the fast growing *Mucorales* giving preference to potentially mycotoxigenic genera.

2.4. Morphological identification

For fungal morphological identification, all strains were subcultured on MEA and Potato Dextrose Agar (PDA, 200 g of infusion from potatoes, glucose 20 g, agar 15 g) at 25 °C for 7 days in the dark. Fungal strains were identified at genus level based on macro- and micro-morphological traits with appropriate keys (Klich, 2002; Nelson et al., 1983; Samson et al., 2000). All fungal strains isolated in the present study (Supplementary Table 1) were deposited at the Chilean Culture Collection of Type Strains (CCCT/UFRO, <http://ccct.ufro.cl/>), which is member of the World Federation of Culture Collection under the registration number WDCM 1111.

2.5. Molecular biology analyses

2.5.1. Genomic DNA extraction

Genomic DNA of each isolate was extracted using a modified protocol described by Rodrigues et al. (2009). Briefly, spores of each strain were transferred from a 7 days old culture into 50 mL tubes containing 25 mL of Malt Extract-Glucose-Yeast-Peptone Medium (MGYP, malt extract 3 g L⁻¹, glucose 10 g L⁻¹, yeast extract 3 g L⁻¹, peptone 5 g L⁻¹). Samples were incubated at room temperature for 5 days in the dark, at 150 rpm in a shaker. Fungal biomass was filtrated and stored at -20 °C.

For DNA extraction, 100 mg of biomass were transferred into a 1.5 mL micro-tube containing 100 µL of lysis buffer (200 mM Tris-HCl pH 8.5, 250 mM NaCl, 25 mM EDTA, 0.5% (w/v) SDS). Cell lysis was performed using a pellet pestle for 3–4 min. After mechanical lysis, 900 µL of lysis buffer was added and the samples were incubated for 1 h at 65 °C. Samples were centrifuged at 14,000 ×g for 10 min at room temperature and 800 µL of the upper phase was transferred into a new 2 mL micro-tube.

Polysaccharides and proteins were precipitated by adding 1 mL of cold sodium acetate (3 M, pH 5.5). Samples were gently mixed by inversion, placed at -20 °C for 10 min and centrifuged at 14,000 ×g for 10 min at room temperature. Clean supernatant was then transferred into a new micro-tube and precipitated with one volume of cold isopropanol (-20 °C). Samples were gently mixed by inversion for 2 min, incubated at -20 °C for 2 h and centrifuged at 14,000 ×g for 10 min.

DNA pellets were washed twice with 1 mL of cold 70% ethanol, centrifuged at 14,000 ×g for 10 min and dried using a Savant™ SPD111 SpeedVac Concentrator (Thermo Fisher Scientific Inc., Wilmington, USA). DNA samples were suspended on 50 µL of ultra-pure water and stored at -20 °C. DNA samples were subjected to quality assessment by quantification of total DNA using NanoDrop™ 1000 instrument (Thermo Fisher Scientific Inc., Wilmington, USA) and by electrophoresis agarose gel 1% (w/v) for 45 min at 80 V. SYBR® Safe DNA Gel Stain (Invitrogen, Carlsbad, USA) was used as staining element and NZYDNA ladder III (NZYTech Lda, Lisbon, Portugal) was used as DNA molecular



Fig. 1. Location of the sampling localities in the region of La Araucanía, Chile. Numbers above the location pins indicate the number of Farmers that provided chilli samples.

weight marker.

2.5.2. PCR amplification

In order to identify the fungal strains, partial amplification of internal transcribed spacer of ribosomal DNA (ITS) region or β -tubulin gene (*benA*) were performed. ITS is regarded as the universal barcode for fungal identification (Schoch et al., 2012) but for specific genera such as *Penicillium* and *Aspergillus benA* is a more informative primary barcode when trying to achieve species-level identification (Samson et al., 2014; Visagie et al., 2014). ITS was amplified using primers ITS1 (5'-TCC GTA GGT GAA CCT GCG G-3') and ITS4 (5'-TCC TCC GCT TAT TGA TAT GCC-3') design by White et al. (1990). *BenA* was amplified using primers Bt2a (5'-GGT AAC CAA ATC GGT GCT GCT TTC-3') and Bt2b (5'-ACC CTC AGT GTA GTG ACC CTT GGC-3') design by Glass and Donaldson (1995).

For both regions, PCR reactions included 25 μ L Taq DNA polymerase Master Mix 2 \times (VWR Life Science, Leuven, Belgium), 2 μ L of

each primer at 10 mM and 2 μ L of total DNA in a final volume of 50 μ L. PCR parameters used in the thermal cycler for ITS were: 94 °C for 3 min, 35 cycles of 94 °C for 1 min, 55 °C for 1 min, 72 °C for 1 min and a final extension at 72 °C for 5 min; for *benA* were: 95 °C for 3 min, 35 cycles of 95 °C for 1 min, 56 °C for 45 s, 72 °C for 90 s and a final extension at 72 °C for 10 min.

Amplification success was verified on 1% (w/v) agarose gels and PCR products purified using NZYGelpure kit (NZYTech Lda, Lisbon, Portugal) according to the manufacturer's instructions and sent to Sanger sequencing to StabVida (Madan Parque, Caparica, Portugal). All sequences were submitted to GenBank and accession codes can be found in Supplementary Table 1.

2.5.3. Phylogenetic analyses

Each sequence was compared with the GenBank database using the Basic Local Alignment Search Tool (BLAST, <https://blast.ncbi.nlm.nih.gov/>). Phylogenetic analyses were performed by comparing sample

Table 1

Reference strains used for phylogenetic analysis of the study isolates. GenBank accession numbers of ITS and *benA* sequences used to construct phylogenetic trees are listed here.

Genus	Species	Section/species complex/clade	Strain ^a	GenBank accession numbers	
				ITS	<i>benA</i>
<i>Alternaria</i>	<i>alternata</i>	Section <i>Alternaria</i>	CBS 916.96 ^T	AF347031	–
	<i>arborescens</i>	Section <i>Alternaria</i>	CBS 102605 ^T	NR_135927	–
	<i>atra</i>	Section <i>Ulocladioides</i>	ATCC 18040 ^T	AF229486	–
	<i>consortialis</i>	Section <i>Ulocladioides</i>	CBS 104.31 ^T	KC584247	–
	<i>cucurbitae</i>	Section <i>Ulocladioides</i>	CBS 483.81 ^R	FJ266483	–
	<i>limoniasperae</i>	Section <i>Alternaria</i>	CBS 102595 ^T	FJ266476	–
	<i>longipes</i>	Section <i>Alternaria</i>	CBS 540.94 ^R	AY278835	–
	<i>multiformis</i>	Section <i>Ulocladioides</i>	CBS 102060 ^T	NR_077187	–
	<i>tenuissima</i>	Section <i>Alternaria</i>	CBS 918.96 ^R	AF347032	–
	<i>terricola</i>	Section <i>Ulocladioides</i>	CBS 202.67 ^T	NR_103600	–
	<i>brasiliensis</i>	Section <i>Nigri</i>	CBS 101740 ^T	–	FJ629272
	<i>dimorphicus</i>	Section <i>Cremei</i>	CBS 649.74 ^T	–	EF652111
<i>Aspergillus</i>	<i>flavus</i>	Section <i>Flavi</i>	CBS 100927 ^T	–	EF661485
	<i>fumigatus</i>	Section <i>Fumigati</i>	CBS 133.61 ^T	–	EF669791
	<i>luchuensis</i>	Section <i>Nigri</i>	CBS 205.80 ^T	–	JX500062
	<i>niger</i>	Section <i>Nigri</i>	CBS 554.65 ^T	–	EF661089
	<i>pseudoglaucus</i>	Section <i>Aspergillus</i>	CBS 123.28 ^T	–	EF651917
	<i>versicolor</i>	Section <i>Versicolores</i>	CBS 583.65 ^T	–	EF652266
	<i>cylindricum</i>	–	UAMH 1348 ^T	NR_146264	–
	<i>gorgonifer</i>	–	CBS 120011	KY249257	–
<i>Cladosporium</i>	<i>telluricum</i>	–	CBS 336.32 ^T	NR_154845	–
	<i>cladosporioides</i>	<i>Cladosporium cladosporioides</i> species complex	CBS 112388 ^T	NR_119839	–
	<i>colocasiae</i>	<i>Cladosporium cladosporioides</i> species complex	CBS 386.64 ^T	NR_119840	–
	<i>oxysporum</i>	<i>Cladosporium cladosporioides</i> species complex	CPC 14371 ^T	NR_152267	–
<i>Colletotrichum</i>	<i>westerdijkiae</i>	<i>Cladosporium cladosporioides</i> species complex	CBS 113746 ^T	HM148061	–
	<i>coccodes</i>	No clade assigned	CBS 369.75 ^T	NR_119858	–
	<i>destructivum</i>	<i>Destructivum</i> clade	CBS 136228 ^T	NR_152280	–
	<i>graminicola</i>	<i>Graminicola</i> clade	CBS 130836 ^T	NR_111190	–
<i>Fusarium</i>	<i>spaethianum</i>	<i>Spaethianum</i> clade	CBS 167.49 ^T	NR_111451	–
	<i>equiseti</i>	<i>Fusarium incarnatum-equiseti</i> species complex	NRRL 26419 ^T	NR_121457	–
	<i>equiseti</i>	<i>Fusarium incarnatum-equiseti</i> species complex	BCCM/IHEM 2823	KJ125696	–
	<i>equiseti</i>	<i>Fusarium incarnatum-equiseti</i> species complex	BCCM/IHEM 19268	KJ125578	–
	<i>equiseti</i>	<i>Fusarium incarnatum-equiseti</i> species complex	BCCM/IHEM 19306	KJ125566	–
	<i>incarnatum</i>	<i>Fusarium incarnatum-equiseti</i> species complex	BCCM/IHEM 20883	KJ125579	–
	<i>incarnatum</i>	<i>Fusarium incarnatum-equiseti</i> species complex	BCCM/IHEM 18176	KJ125577	–
	<i>incarnatum</i>	<i>Fusarium incarnatum-equiseti</i> species complex	BCCM/IHEM 1487	KJ125573	–
	<i>oxysporum</i>	<i>Fusarium oxysporum</i> species complex	BCCM/IHEM 25665	KJ125664	–
	<i>oxysporum</i>	<i>Fusarium oxysporum</i> species complex	BCCM/IHEM 1243	KJ125597	–
	<i>redolens</i>	–	NRRL 22901	U34565	–
	<i>cinereus</i>	–	UTHSC 10-2805 ^T	NR_132939	–
<i>Microascus</i>	<i>gracilis</i>	–	CBS 369.70 ^T	NR_165206	–
<i>Penicillium</i>	<i>adametzioides</i>	Section <i>Sclerotiora</i>	CBS 313.59 ^T	–	JN799642
	<i>angulare</i>	Section <i>Sclerotiora</i>	CBS 130293 ^T	–	KC773779
	<i>bialowiezensis</i>	Section <i>Brevicompacta</i>	CBS 227.28 ^T	–	AY674439
	<i>brasiliense</i>	Section <i>Lanata-Diviricata</i>	CBS 253.55 ^T	–	GU981629
	<i>brevicompactum</i>	Section <i>Brevicompacta</i>	CBS 257.29 ^T	–	AY674437
	<i>buchwaldii</i>	Section <i>Brevicompacta</i>	CBS 117181 ^T	–	JX313182
	<i>bussumense</i>	Section <i>Aspergilloides</i>	CBS 138160 ^T	–	KM088685
	<i>chrysogenum</i>	Section <i>Chrysogena</i>	CBS 306.48 ^T	–	AY495981
	<i>citrinum</i>	Section <i>Citrina</i>	CBS 139.45 ^T	–	GU944545
	<i>corylophilum</i>	Section <i>Exilicaulis</i>	CBS 312.48 ^T	–	JX141042
	<i>crustosum</i>	Section <i>Fasciculata</i>	CBS 115503 ^T	–	AY674353
	<i>cyclopium</i>	Section <i>Fasciculata</i>	CBS 144.45 ^T	–	AY674310
	<i>discolor</i>	Section <i>Fasciculata</i>	CBS 474.84 ^T	–	AY674348
	<i>expansum</i>	Section <i>Penicillium</i>	CBS 325.48 ^T	–	AY674400
	<i>freii</i>	Section <i>Fasciculata</i>	CBS 476.84 ^T	–	AY674290
	<i>frequentans</i>	Section <i>Aspergilloides</i>	CBS 105.11 ^T	–	KM088762
	<i>glabrum</i>	Section <i>Aspergilloides</i>	CBS 125543 ^T	–	GU981619
	<i>melanoconidium</i>	Section <i>Fasciculata</i>	CBS 115506 ^T	–	AY674304
	<i>neochinulatum</i>	Section <i>Fasciculata</i>	CBS 169.87 ^T	–	AF003237
	<i>paraherquei</i>	Section <i>Lanata-Diviricata</i>	CBS 338.59 ^T	–	KF296465
	<i>polonicum</i>	Section <i>Fasciculata</i>	CBS 222.28 ^T	–	AY674305
	<i>sizovae</i>	Section <i>Citrina</i>	CBS 413.69 ^T	–	GU944535
	<i>verrucosum</i>	Section <i>Fasciculata</i>	CBS 603.74 ^T	–	AY674323
	<i>viridicatum</i>	Section <i>Fasciculata</i>	CBS 390.48 ^T	–	AY674295
<i>Stagonosporopsis</i>	<i>dorenboschii</i>	–	CBS 426.90 ^T	NR_135996	–
	<i>hortensis</i>	–	CBS 104.42 ^R	GU237730	–
	<i>loticola</i>	–	CBS 562.81 ^T	NR_163680	–
<i>Talaromyces</i>	<i>pinophilus</i>	Section <i>Talaromyces</i>	CBS 631.66 ^T	–	JX091381
<i>Trichocoma</i>	<i>paradoxa</i>	–	CBS 788.83	JN899398	KF984556

(continued on next page)

Table 1 (continued)

Genus	Species	Section/species complex/clade	Strain ^a	GenBank accession numbers	
				ITS	benA
<i>Trichoderma</i>	<i>gamsii</i>	Viride clade	FMR 12636	NR_131317	–
	<i>koningiopsis</i>	Viride clade	CBS 119075 ^T	NR_131281	–
	<i>trixiae</i>	Viride clade	CBS 134702 ^T	NR_138444	–
	<i>viridescens</i>	Viride clade	CBS 433.34	NR_138429	–

^a T – type strain; R – reference strain.

sequences against those of reference species retrieved from the NCBI database (Table 1). Alignment was performed using MUSCLE (Robert, 2004) followed by visual inspection and manual correction using MEGA 7.0 (Kumar et al., 2016).

Maximum likelihood trees based on the most suitable substitution model (determined based on the lowest Bayesian information criterion, varying between Kimura 2-parameter (Kimura, 1980) or Tamura-Nei (Tamura and Nei, 1993) methods and 1000 bootstrap replicates were constructed using MEGA 7.0. All positions containing gaps and missing data were eliminated.

2.5.4. Diversity analyses

Shannon-Wiener (H'), Simpson's diversity (D_1), Simpson's dominance (D_2), species richness (S) and Simpson's evenness (E) diversity indices (Shannon, 1948; Simpson, 1949; McCune and Grace, 2002; Whittaker, 1972) were estimated based on species counts for the complete dataset. Species and genus relative abundances were estimated and plotted in R environment 3.5.1 and RStudio 1.1.383 (RStudio, 2016; R environment, 2020) using *vegan* package (Oksanen et al., 2018) to estimate Bray-Curtis similarity matrices and *heatmap* package (Kolde, 2018) to plot the similarity data using UPGMA clustering method. Species distribution per sampling point was also represented in a Venn diagram generated using *VennDiagram* package (Chen, 2018) in Rstudio.

For *benA* and ITS separately, Jukes-Cantor distance matrices between sequences were calculated using MEGA 7.0. Those were used to assign sequences to operational taxonomic units (OTUs), and construct observed and Chao1 estimated rarefaction curves using DOTUR furthest neighbour clustering method (Schloss and Handelsman, 2005). For each OTU, a consensus sequence that represented that cluster was derived using Geneious Prime 2020.0.5 (<https://www.geneious.com>) and subsequently used for phylogenetic analysis as described above.

2.6. Determination of mycotoxigenic strains

All *Aspergillus* and *Penicillium* strains were tested for OTA production in an inducing Yeast Extract Sucrose agar medium (YES, yeast extract 20 g L⁻¹; sucrose 150 g L⁻¹; agar 15 g L⁻¹; MgSO₄·7 H₂O 0.5%; ZnSO₄·7H₂O 0.01%; CuSO₄·5H₂O 0.005%) and incubated at 25 °C for 7 days in the dark (Frisvad and Filtenborg, 1983; Esteban et al., 2006).

For OTA extraction, 2 mL of methanol were added to 3 agar plugs removed from one colony. After 1 h, the extract was filtered through 0.2 µm filters (Bragulat et al., 2001). OTA quantification was performed according to Abrunhosa et al. (2014) using High Performance Liquid Chromatography (Waters, Milford, MA, USA) with a reverse-phase C18 silica gel column (250 × 4.6 mm, 5 µm), equipped with a Varian 9002 pump (Agilent, Palo Alto, CA, USA), a Varian Prostar 410 autosampler and Jasco FP-920 fluorescence detector (Jasco Europe, Cremella, Italy). Excitation and emission wavelengths were set at 333 and 460 nm, respectively.

An isocratic mobile phase of acetonitrile/water/acetic acid (99:99:2, v/v/v) was used with a flow rate of 1.0 mL min⁻¹. OTA was identified by comparison of the peak samples' retention time with that of the standards. Standards were prepared by serially diluting a primary OTA stock solution (25 µg/mL) supplied by Sigma (O1877). OTA

determination in samples was based on the external standard calibration method, using an OTA concentration range of 0.05–100 ppb. The calibration curve was $y = 1075.8x + 736.3$ and $R^2 = 0.9972$. Limit of detection (LOD) and limit of quantification (LOQ) were calculated according to the following equations (Taverniers et al., 2004): $LOD = 3.3 \times (sd/b)$ and $LOQ = 10 \times (sd/b)$, where sd is the standard deviation of the intercept of the regression line obtained from the calibration curve, and b is the slope of the line. LOD and LOQ were calculated as 7.6 and 23 ppb, respectively.

3. Results and discussion

3.1. Mycobiota isolation and identification

In our study, a total of 192 filamentous fungi strains were isolated and identified from *C. annuum* berry fruits. Of those, 149 were sequenced using *benA* and 43 using ITS (Supplementary Table 1). Their phylogenetic analysis revealed that the total data set includes 11 genera and 44 different fungal species (Supplementary Figs. 1–3). Predominant genera were *Penicillium* (58.9%), *Aspergillus* (18.2%), *Alternaria* (8.9%) and *Fusarium* (7.3%). On the other hand, *Cephalotrichum*, *Cladosporium*, *Colletotrichum*, *Microascus*, *Stagonosporopsis*, *Talaromyces*, and *Trichoderma* were isolated in low frequencies, collectively corresponding to 6.7% (Fig. 2).

Species belonging to each genus were differently distributed between sampling points (Figs. 3 and 4). *Fusarium* and *Alternaria* species are usually isolated from living plants and fresh fruits. Overall, these genera are well adapted to field phase (Sanzani et al., 2016). This was also observed in the present study, with *Fusarium* spp. being present only in SP I, while *Alternaria* spp. was isolated in all sampling points, being predominant in SP I and SP II (Figs. 3 and 4). In particular, *F. incarnatum-equiseti* species complex and *Alternaria* sect. *Alternaria* were

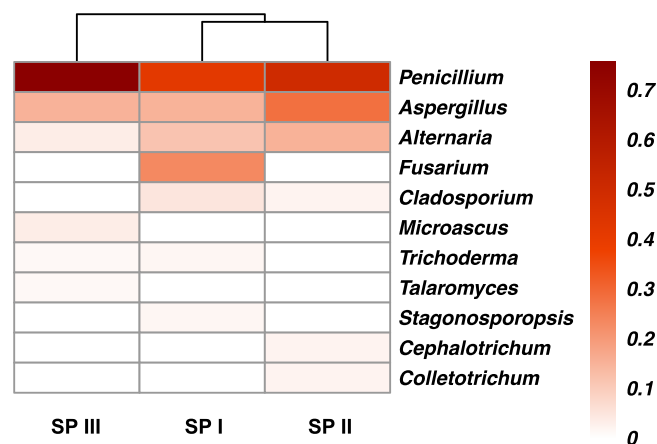


Fig. 2. Heat map showing genus relative abundance distributed by the three sampling points considered (SP I, SP II and SP III). Sites were clustered using UPGMA dendrogram based on Bray-Curtis similarities. Colour legend and scale provided in the figure. (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

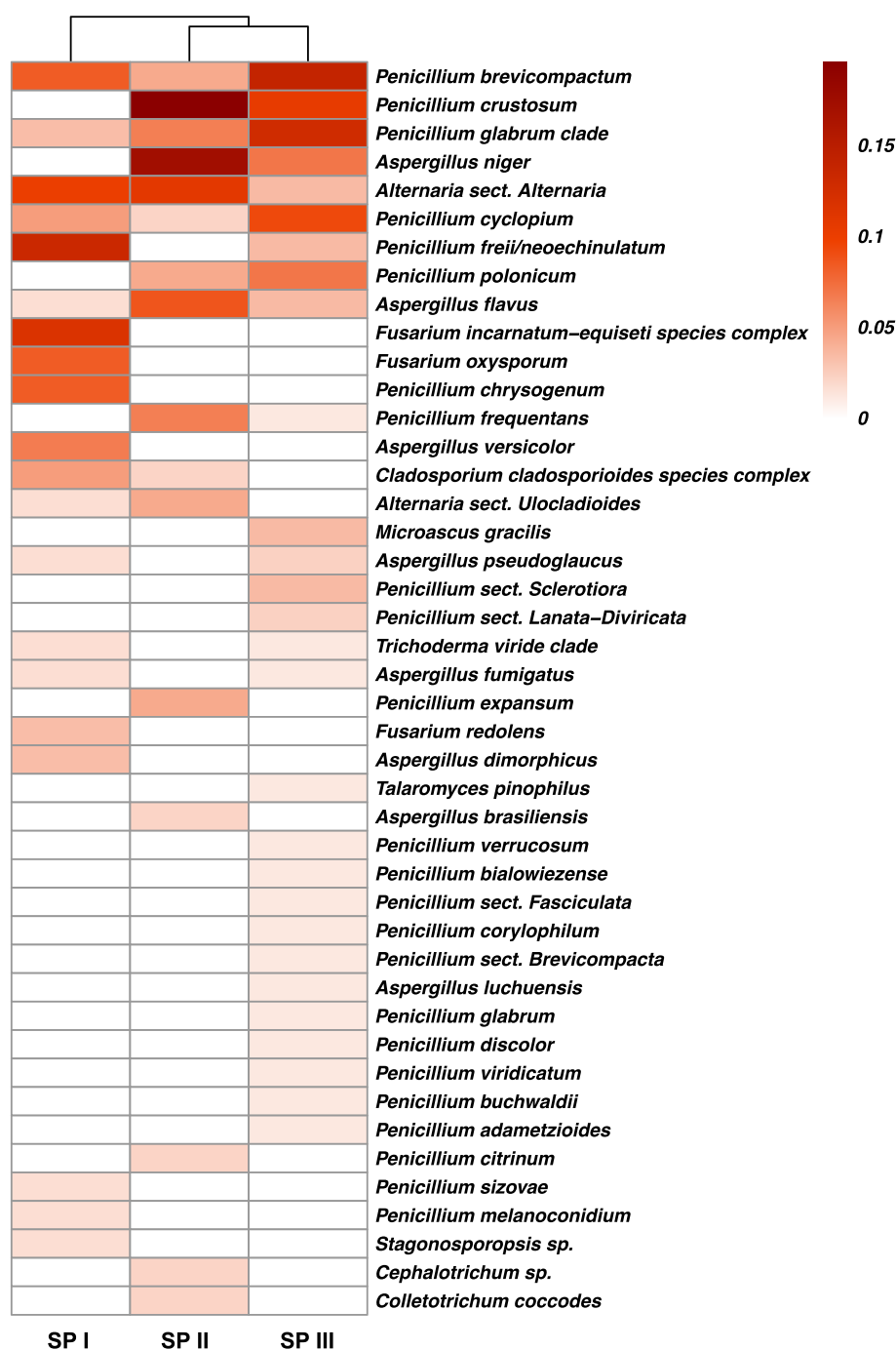


Fig. 3. Heat map showing species relative abundance distributed by the three sampling points considered (SP I, SP II and SP III). Sites were clustered using UPGMA dendrogram based on Bray-Curtis similarities. Colour legend and scale provided in the figure. (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

the most prevalent in our study (Fig. 3). Adebajo and Shopeju (1993) showed that *F. equiseti* was the main species found in fresh fruit of *C. annuum*. Similarly, in the post-harvest phases (SP II and III) of the present study it was observed that the incidence of both *Alternaria* spp. and *Fusarium* spp. were low. In fact, in stored samples the predominance of field fungi is switched to xerophilic fungi such as *Aspergillus* and *Penicillium* (Fig. 2). Exceptions to this trend include species such as *A. dimorphicus*, *A. versicolor* and *P. sizovae*, which are commonly regarded as saprophytes, soil-borne and opportunistic plant pathogens together with *F. oxysporum*, *F. redolens* and *Stagonosporopsis* sp. (Houbraken et al., 2010; Garampalli et al., 2016; Haapalainen et al., 2016). These were isolated exclusively from samples of ripe chilli fruits

(SP I, Figs. 3 and 4). Surprisingly, the xerophilic species of *A. pseudoglaucus* was also isolated from fresh fruit (Fig. 4). This species is generally related to the deterioration of dry foods such as chilli powder (Garcia et al., 2018).

Regarding *Penicillium*, species from this genus are usually isolated from chilli by-products such as paprika, red pepper flakes and crushed chilli (Santos et al., 2011; Heperkan and Ermis, 2004; Tančinová et al., 2014; Gherbawy et al., 2015; Garcia et al., 2018). As far as we know, this is the first report of *P. crustosum* spoiling pepper fruits at the post-harvest stage (SP II and III, Figs. 3 and 4), re-enforcing the idea that this species can be an emerging pathogen. Here, *P. crustosum* was isolated from chilli pods, usually being reported in cheese, nuts, and soil

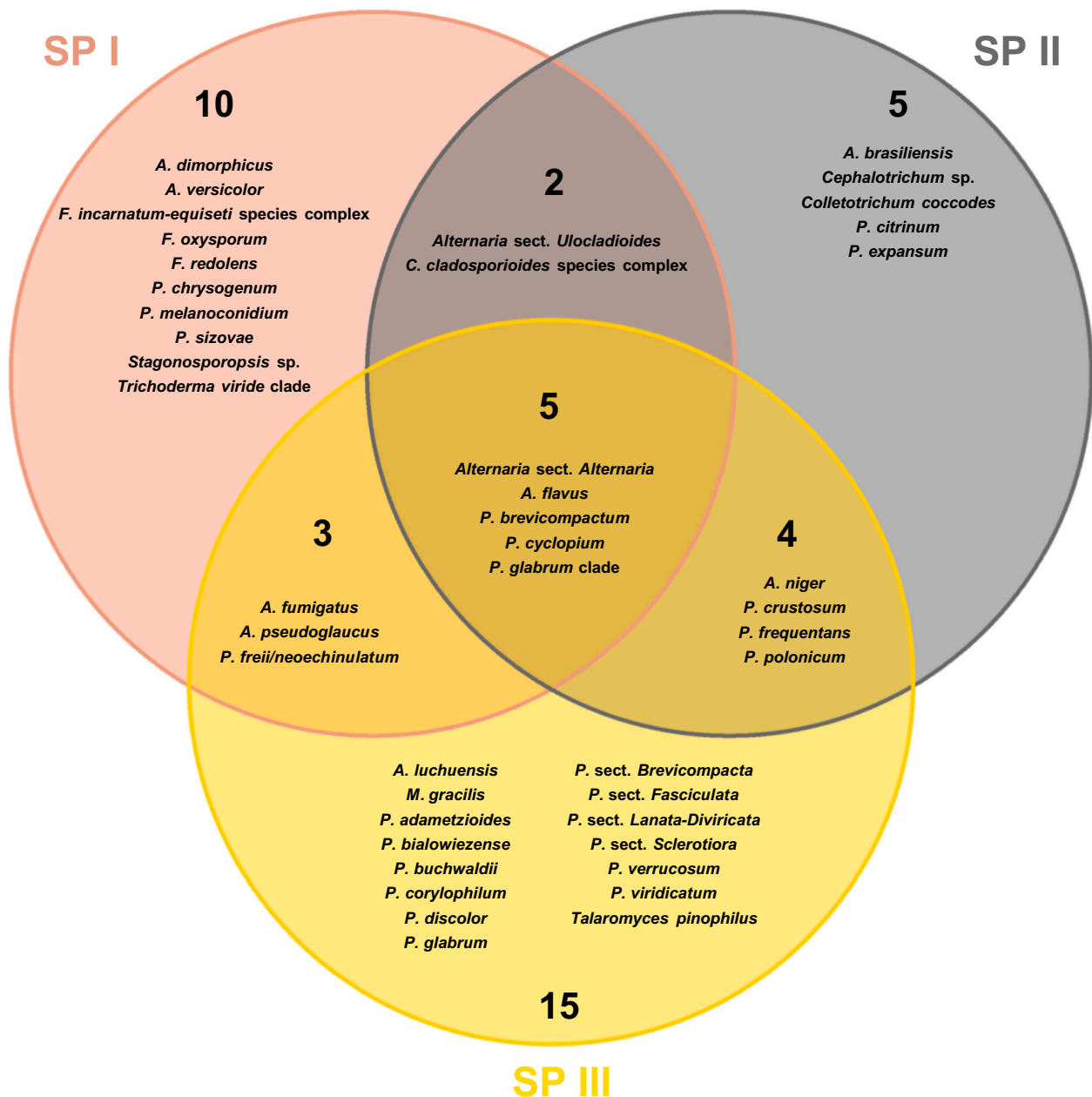


Fig. 4. Venn diagram showing diversity distribution of fungal species isolated from Chilean *Capsicum annum* L. cv. "Cacho de Cabra" in the three sampling points considered (SP I, SP II and SP III).

(Decontardi et al., 2018; González et al., 2017; Garcia et al., 2018). As for the *P. glabrum* clade, our data corroborates Barreto et al. (2011) and Houbraken et al. (2014) that indicated high intra-specific β -tubulin variation for this group. Among the 21 strains grouped in this clade, only five could be identified as *P. glabrum* (1) and *P. frequentans* (4). The remaining lineages could represent putative new species (Supplementary Fig. 3), which is particularly interesting since these strains were isolated from dried pepper, an unusual substrate for this group. Similarly, *P. cyclophilum* and *P. polonicum* are rarely isolated from chilli and its derivatives (Santos et al., 2011; Dashora and Sharma, 2018), possibly due to the shift in the conditions during the drying process causing that these airborne fungi seize to proliferate. Nevertheless, these two species were isolated here in more than one sampling point (Fig. 4) and at relatively high frequencies, particularly in sampling point III (Fig. 3). In fact, *P. cyclophilum*, *P. brevicompactum* and *P. glabrum* clade were dominant in smoked *C. annum* samples (SP III, Fig. 3) but they were isolated

in all sampling points (Fig. 4), indicating that they might represent mycobiota residing in the plant that is very well adapted to shifts in abiotic conditions along the supply chain. Several other *Penicillium* species were found at lower frequencies. Some, such as *P. expansum* and *P. citrinum*, which are important and widespread postharvest pathogens that are highly adaptable to diverse environments (Pitt and Hocking, 2009; Prusky et al., 2014; Casquete et al., 2017). Others, such as *P. corylophilum*, *P. verrucosum* and *P. viridicatum* do well in storage conditions and have already been reported in dry foods, including chilli products (Bokhari, 2007; Hammami et al., 2014; Gherbawy et al., 2015; Garcia et al., 2018; Heperkan and Ermis, 2004; Jeswal and Kumar, 2015). Conversely, *P. admetzioides*, *P. bialowiezense*, *P. buchwaldii*, *P. glabrum*, and *P. discolor*, were isolated at low frequencies exclusively from smoked chilli (SP III, Figs. 3 and 4). From an ecological point of view, this represents important data since these strains are common spoilage fungi in other food matrices (e.g., cheese, nuts, and so forth),

Table 2

Diversity measures estimated for a dataset of 192 strains isolated from Chilean *Capsicum annuum* L. cv. “Cacho de Cabra”. Values were calculated for the overall dataset and for each sampling point individually (SP I, SP II and SP III).

	H'	D ₁	D ₂	S	E
	Shannon's diversity	Simpson's diversity	Simpson's dominance	Species richness	Simpson's evenness
Overall	7.79589	0.94705	18.88525	44.00000	0.42921
SP I	4.91496	0.92278	12.94964	20.00000	0.64748
SP II	4.08746	0.89319	9.36283	16.00000	0.58518
SP III	5.47180	0.92564	13.44727	28.00000	0.48026

but not in *C. annuum* pods.

Among *Aspergillus*, along with the less prevalent species mentioned above, the dominant ones were *A. niger* and *A. flavus*, particularly in SP II (Fig. 3). These species, among others belonging to sections *Nigri* and *Flavi*, have been shown to be predominant fungal contaminants in *Capsicum* pods and derivative products, being associated with the presence of mycotoxins in such food items (Chuaysrinule et al., 2020; Costa et al., 2019b; Frimpong et al., 2019; Ham et al., 2016).

This study also represents the first record, to the best of our knowledge, of *A. luchuensis* (1 strain in SP III) and *P. melanoconidium* (1 strain in SP I) isolated from *C. annuum* berry fruits.

3.2. Diversity and sampling effort

An array of diversity indices was estimated based on species counts for the complete 192 strains dataset (Table 2). Overall Shannon's diversity (H'), Simpson's diversity (D₁) and Simpson's dominance (D₂) values are high, indicating that the complete dataset is highly diverse, which is reflected on the species richness (S) value.

Higher values of S can be indicative of the presence of several low frequency species (Morris et al., 2014). It is in accordance with the estimated overall Simpson's evenness (E) with a relatively low value showing that there are a few dominant species in the dataset (Morris et al., 2014). This is not unexpected due to the isolation scheme followed, i.e., as potentially mycotoxigenic genera were targeted during isolation, the final data set contains a higher number of *Penicillium* and *Aspergillus* isolates and species when comparing to other fungal groups. In fact, the directed isolation methodology used here hinders diversity estimates for individual sampling points (Table 2) by reducing the diversity estimates, particularly for SP I, due to the elimination of fast-growing *Mucorales* species.

Chao1 richness estimates and observed rarefaction curves at several genetic distance levels were estimated for both analysed markers (Supplementary Fig. 4). For distance levels around 3% or higher they tend to a stable value, particularly in the case of the estimated Chao1 curves (Supplementary Fig. 4A), meaning that the sequencing effort applied was near sufficient and the studied fungal community is relatively well characterized. Here, the lower observed OTU numbers (Supplementary Fig. 4B) in comparison with the estimated Chao1 are the result of the directed sampling strategy that was adopted in the present study. Several authors consider distance levels of 3% to represent species differentiation (Hamad et al., 2017; Passarini et al., 2013; Schloss and Handelsman, 2005; Siles and Margesin, 2016). As expected, the lineage-through-time plots show that the number of expected OTU's decreases with the increase of evolutionary distance (Supplementary Fig. 4C), which can be translated as each OTU corresponding to progressively higher taxonomic categories.

A similar approach to that described by Blaxter et al. (2005) was herein applied and a phylogenetic analysis of OTU consensus sequences and singletons was performed (Supplementary Fig. 5). The use of consensus sequences allowed to represent the diversity of the constituent sequences and further compare them with reference strains.

The obtained results show that threshold definition is most likely dependent of the studied taxonomic groups and species differentiation power of the analysed region. For the *benA* dataset, species at 0%, sections at 6% and genus at 29% distance levels can be defined. On the other hand, the ITS dataset did not allow species classification of most isolates. However, it is possible to define groups (sections, species complexes or clades) at 0% distance levels and genus at 10%.

From the results presented in this section it is possible to conclude that, despite some bias is introduced by the sampling scheme followed (presence of dominant species), we were able to recover low-frequency or rare species achieving a sound overview of the mycobiota present in Chilean *C. annuum* L. cv. “Cacho de Cabra”, which is key information to improve risk assessment based on the presence of potential mycotoxigenic species.

3.3. Mycotoxin detection and food safety considerations

Small- and medium-scale pepper cultivation systems often do not have the same level of control as large-scale pepper producers, especially in relation to good handling and storage practices. In Chile, no data is available for on-field management systems of *C. annuum* L. cv. “Cacho de Cabra” production.

In our study, obtained fungal isolates were distributed per sampling point as follows: 31% from fresh (SP I; 60 isolates), 24% from dried (SP II; 46), and 45% from smoked (SP III; 86) *C. annuum* samples. Possibly, in SP I, irrigation and fertiliser application above the recommended levels, as well as changes in climatic conditions, crop rotation, and soil texture, can enhance plant susceptibility to fungal colonization (Costa et al., 2019b). In the post-harvest phases (SP II and SP III), the control of temperature, humidity and a_w are critical factors to guarantee a low bioburden. Despite no data is available for the specific production conditions used by each farmer, they all follow traditional methods during those production stages and, although reduction in moisture levels between sampling points is empirically observed, the percentage of fungal isolation increased. The obtained contamination profiles for each farmer are different (Fig. 5) and, given the use of similar techniques, major influencing points could be geographic location or the existence of specific mycobiomes installed in each production field. Other possible explanation includes the variation in environmental conditions to which chilli is exposed during the post-harvest stages, resulting in lower a_w values and giving competitive advantage to xerophilic/xerotolerant fungi such *Aspergillus* and *Penicillium* species over other fungal species, ultimately leading to these genera representing a higher percentage of the fungal load (similar profile to that observed in Farmers I and VII, Fig. 5). Furthermore, the timeframe between drying and smoking might be variable and include changes in temperature, exposure to dust, wind and insect infestation, which can also contribute to the observed differences in fungal contamination profiles.

The high frequency of spoilage fungi isolated in all stages of production of *C. annuum* directly affects the quality of these pods that are consumed fresh and may also compromise the quality of derived products. In Chile, OTA has been detected above the limits established by Chilean and European Commission regulations in *Capsicum* and *Merkén* samples (ACHIPIA, 2018). Ikoma et al. (2015) evaluated chilli samples produced and marketed in Chile and detected OTA in assessed samples in very high concentration levels. In fact, geographical and climatic characteristics, and crop management systems (poor hygienic conditions, oscillation in water activity and temperature) can favour increased mycological loads having a direct effect on mycotoxin contamination levels. In addition, NaCl and capsaicinoids compounds have been referred as acting as external signals to trigger OTA biosynthesis in this type of substrate (Costa et al., 2019b; Stoll et al., 2013).

The OTA-producing species with greatest relevance are *A. carbonarius*, *A. niger*, *A. ochraceus*, *P. nordicum*, and *P. verrucosum* (Cabañes et al., 2010; Cabañes and Bragulat, 2018). In our study, all strains of *Penicillium* (113) and *Aspergillus* (35) isolated were subjected to OTA

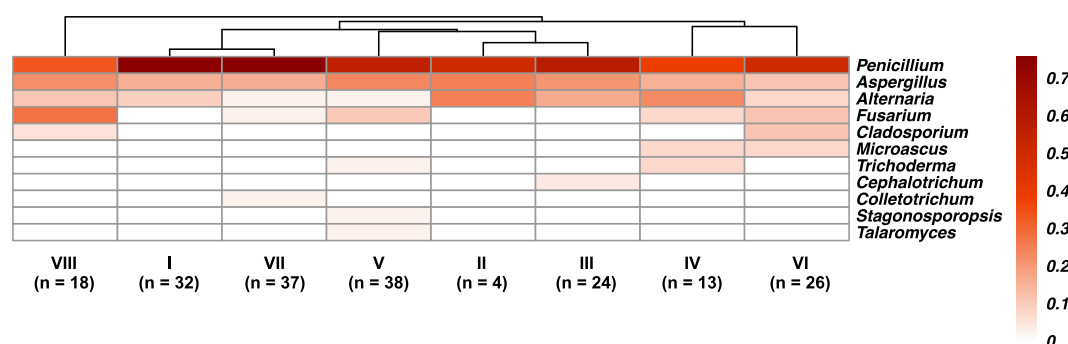


Fig. 5. Heat map showing genus relative abundance distributed by the eight Farmers considered (I–VIII). Numbers in between parenthesis represent the number of isolates originating from each farmer. Sites were clustered using UPGMA dendrogram based on Bray-Curtis similarities. Colour legend and scale provided in the figure. (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)

production analysis. Obtained data showed that, under the growth conditions used (see Section 2.6), none of the strains was able to produce OTA above the detection limits. OTA production varies not only due to the environmental conditions but is also species and strain dependable. *A. carbonarius* can take only 5 days to reach the highest production, *A. niger* may take 7 days (Zeilinger et al., 2014) and *A. westerdijkiae* 10 days (Vipotnik et al., 2017), for instance. Due to the different isolates included in this study, 7 days growth for OTA analysis represented a compromise between the different species tested. The same was applied to the growth temperature used for the analyses. Here, 14 strains of *A. niger* were isolated. Although *A. niger* is reported as OTA producer, relatively few strains (c.a. 10%) can biosynthesise this mycotoxin (Hocking et al., 2007). Furthermore, 1 *P. verrucosum* strain was isolated from smoked pepper (SP III). This strain was positive for *otanpsPN* gene (unpublished data), however it did not produce detectable levels of OTA in synthetic medium. The *otanpsPN* gene is key in OTA biosynthesis as it is involved in the linkage of the phenylalanine moiety to the polyketide. Despite the presence of *otanpsPN*, other genes or gene regulators are essential for the OTA biosynthetic pathway (Gallo et al., 2012).

The absence of OTA-producing fungi does not guarantee that the *C. annuum* samples are OTA free. Once secreted, OTA remains in the commodity even after the disappearance of the fungal contaminants. Manual sorting of the damaged fruits by farmers can help reducing the mycotoxin levels, however, OTA is a reasonably heat stable molecule that can persist through most food processing operations (Duarte et al., 2010; Karlovsky et al., 2016). Furthermore, as mentioned previously, mycotoxin production and secretion are closely associated with the nutritional components of the substrate (Abbas et al., 2009). Some studies emphasize the effect of carotenoids and other pungent substances present in *C. annuum* pod in the growth rates and mycotoxin expression of some fungal species (Masood et al., 1994; Norton, 1997; Santos et al., 2010). For this, additional analyses should be made directly on the fruits of *C. annuum*.

Furthermore, our study has detected other potentially mycotoxigenic strains such as *A. flavus* (e.g., aflatoxins), *Alternaria* sect. *Alternaria* (e.g., alternariol), *P. cyclopium* (e.g., penicillic acid), *P. citrinum* (e.g., citrinin), *P. expansum* (e.g., citrinin, patulin), *A. fumigatus* (e.g., gliotoxin), *P. polonicum* (e.g., cyclopiazonic acid and penicillic acid) and *F. oxysporum* (e.g., fusarins and moniliformin) were isolated. The co-occurrence of mycotoxins has already been reported in chilli products (Costa et al., 2019b; Perrone and Susca, 2017; Pitt and Hocking, 2009).

From the point of view of food safety, isolation in all sampling points of potentially mycotoxigenic strains highlights the importance of improving bioburden control. A good adaptation of these strains to different conditions along the production chain of *C. annuum*, can be a threat, since the drying and smoking processes can be barriers to the development of fungi, but they do not affect the mycotoxins already

released in the food matrix during previous stages of the food production chain.

4. Conclusion

This study provides the first comprehensive dataset regarding mycobiota, particularly that with mycotoxigenic potential, of chilli *C. annuum* L. cv. “Cacho de Cabra”. Our results clearly show that fresh, dried and smoked chilli pod are substrates increasingly selective for occurrence of potentially mycotoxigenic fungi. Under post-harvest conditions, *Aspergillus* and *Penicillium* species proliferated replacing the higher levels of *Alternaria* and *Fusarium* observed at harvest time. This shift in the fungal community of *C. annuum* L. cv. “Cacho de Cabra” is the result of differences in the ecophysiological conditions in the field and the post-harvest phases. The decrease in a_w during the drying and smoking processes was not sufficient to avoid fungal growth. In addition, the exposure timeframe of chilli pods to inadequate conditions throughout the drying and smoking processes may have favoured fungal growth in the samples. Species of *Penicillium* and *Aspergillus*, including potential toxigenic ones, were isolated in the three sampling time points. The most abundant strains were *P. brevicompactum* and *P. crustosum*. Among *Aspergillus* species, *A. niger* and *A. flavus* were dominant.

Isolated *Penicillium* and *Aspergillus* species did not produced OTA above the detection limits considering the used in vitro conditions. Nevertheless, several of the isolated fungal species can decay *Capsicum* pods and have the potential to produce other mycotoxins. Therefore, the mycobiota present in *C. annuum* L. cv. “Cacho de Cabra” poses both a quality risk with the potential to originate economic losses but also a health risk due to the possible mycotoxin contamination of the fresh pods or derived products. Thus, it is highly advisable to improve control measures during *C. annuum* production and storage chain in order to reduce the presence of mycological contamination. Considering food security, further studies are needed to clarify whether the mycobiota isolated from the *C. annuum* cv. “Cacho de Cabra” berry fruits is able of producing other mycotoxins.

Supplementary data to this article can be found online at <https://doi.org/10.1016/j.ijfoodmicro.2020.108833>.

Declaration of competing interest

The authors declare no conflict of interest.

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